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## Guide

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Various types of securities (debt, equity, options, derivatives)  
Economics and capital markets;  
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M&A Process and Deal Structure

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comprised of the three major job functions of an investment banking representative. The table below lists the allocation of exam items for each major job function.

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comprehensive General Strategy  
review including: Make  
Predictions, Answer the Question,  
Benchmark, Valid Information,  
Avoid Fact Traps, Milk the  
Question, The Trap of Familiarity,  
Eliminate Answers, Tough  
Questions, Brainstorm, Read  
Carefully, Face Value, Prefixes,  
Hedge Phrases, Switchback  
Words, New Information, Time  
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-Primary Marketplace -Secondary

Marketplace -Principal Factors

Affecting Securities, Markets, and

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-Retirement Plans -Custodial,

Education, and Health Savings

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Answer Explanations Each section

of the test has a comprehensive

review that goes into detail to

cover all of the content likely to

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making it again in the future. The answer explanations will help you to learn from your mistakes and overcome them. Understanding the latest test-taking strategies is essential to preparing you for what you will expect on the exam. A test taker has to not only understand the material that is being covered on the test, but also must be familiar with the strategies that are necessary to properly utilize the time provided and get through the test without making any avoidable errors. Anyone planning to take the Series 7 exam should take advantage of the review material, practice test questions, and test-taking strategies contained in this study guide.

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Get ready to qualify for the security industry job of your dreams The Securities Industry Essentials (SIE) Exam is a new test administered by FINRA



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